# Plug and Play Optical (PPO) Nodes: Network Functionalities and Built-In Fiber Characterization Techniques

Isabella Cerutti, Andrea Fumagalli, Rongqing Hui, Paolo Monti, Alberto Paradisi, and Marco Tacca Technical Report UTD/EE/04/2006 October 2006

# Plug and Play Optical (PPO) Nodes: Network Functionalities and Built-In Fiber Characterization Techniques

Isabella Cerutti<sup>⊗</sup>, Andrea Fumagalli<sup>⊘†</sup>, Rongqing Hui<sup>⊕</sup>, Paolo Monti<sup>⊘</sup>, Alberto Paradisi<sup>⊙</sup>, and Marco Tacca<sup>⊘</sup>

<sup>S</sup> Scuola Superiore Sant'Anna, 56124, Pisa, Italy
 <sup>D</sup> Department of EECS, The University of Kansas, Lawrence KS, 66044, USA
 <sup>O</sup> Networking Systems Development, CPqD, Campinas (SP), Brazil
 <sup>O</sup> OpNeAR Lab, University of Texas at Dallas, Richardson, TX, 75083, USA
 <sup>†</sup> andreaf@utdallas.edu

Plug and play optical (PPO) nodes may be used to facilitate the deployment of optical networks. PPO nodes must be able to learn about the signal propagation properties of the surrounding optical fibers and make their wavelength routing decisions based on the collected data. This paper discusses what are the open challenges that must be overcome to provide cost effective and performing ad hoc networking solutions based on PPO nodes. Three possible PPO node hardware architectures trading off complexity, cost and functionalities are presented along with their built-in fiber characterization techniques.

© 2006 Optical Society of America

OCIS codes: 060.0060, 060.2270, 060.4250, 060.4510.

#### 1. Introduction

The latest advances in optics widely demonstrate that today's technologies have the potential to enable end-to-end user communications in the gigabit transmission range [1]. Advantages of optical networking include the possibility to reduce electronic processing within the network and to benefit from the degree of transparency [2] provided by setting up end-to-end optical circuits, or *lightpaths* [3], in wavelength routed networks. However, the deployment of optical networks is mainly delayed by two factors: the excessive cost of optical nodes and the highly complex procedures for the design, installation, and maintenance of the overall network.

The high cost of an optical node is partially due to the small scale production and the lack of integrated solutions at this time. Meanwhile, the network design complexity originates from the fact that a large number of measurements must be taken in the laboratory to make sure that the network planning phase is correctly carried out by trained engineers. These measurements mainly relate to the quality of the optical signals, e.g., optical signal-to-noise ratio (OSNR) and signal waveform distortion, during their propagation across the fibers and the optical nodes. In conventional (first generation) optical networks the signal propagation is limited within two physically adjacent nodes, which greatly limits the number of measurements that needs to be performed. However, in transparent (second generation) optical networks with a large number of nodes, the network installation may be complex, because many in-service measurements are required to verify the proper installation of the equipment. Network management is complex too, since continuous monitoring of the optical signal quality must be performed to detect malfunctions, and, where possible, to anticipate element failures. In addition to the above burdens, whenever an upgrade is needed in the network, the entire process must be repeated, as newly added nodes affect existing nodes and the way optical circuits are established.

To circumvent the complex and costly design of extant optical networks, the authors propose to investigate an unconventional approach, namely the design of fiber based ad hoc optical networks. The enabling component of the proposed approach is a cost-effective, self-configurable plug-and-play optical (PPO) node. A PPO node consists of an optical crossconnect for optical circuit switching, a miniature optical transmission laboratory (mini-lab) module for signal quality monitoring and processing, and a number of low-rate service channel interfaces for network management and control. Once plugged into the network, the PPO node automatically learns about the optical propagation properties of its neighboring fibers and nodes using its mini-lab module. It then offers on-demand optical circuit switching capabilities to its connected client nodes, e.g. routers.

With the envisioned PPO node functionalities, the design, installation and management of fiber based ad hoc optical networks will be simple due to the PPO nodes' built-in capabilities for topology auto-discovery, node self-configuration, and fiber parameters monitoring. There is no need for complex network planning, nor a team of engineers is required in the field to check correct installation of equipment and to monitor network functions. These tasks will be automatically performed by the PPO nodes in a cooperative manner, by using the measured fiber parameters and the on-board signal processing features. As a result, minimal training will be required to install, operate, and maintain the PPO nodes, thus considerably reducing the overall network cost. Large number of PPO nodes can be deployed to create ad hoc access, local area, metropolitan area, and possibly even wide area networks, which self maintain, configure, protect, and recover from failures with minimal human intervention.

The paper depicts the potentialities and functionalities envisioned for the PPO node enabled networks, or PPO networks. It describes three key modules in the PPO network: the PPO network management and control module, the client network management and control module, and the PPO node on-board mini-lab. It then discusses three alternative solutions for the mini-lab module and analyzes their tradeoff between complexity and capabilities.

### 2. Envisioned PPO Network Scenario

The envisioned PPO network architecture is based on the assumption that in few years from now, gigabit Ethernet cards will be inexpensive, following the same cost pattern of today's Fast Ethernet. Thus, the end-user will have direct access to an entire wavelength channel. These gigabit cards are expected to have tunable capabilities (in transmission and reception), adjustable bit rate (multi-rate) interfaces, adjustable transmission power, and a dedicated low-speed service channel, used for signaling between the network ingress point (the PPO node which is physically connected to the user card) and the user card. Similar features are expected to be available at the interfaces of the routers that are connected to PPO nodes. In this section, end-users, routers, and other electronic nodes are also referred to as *clients*, as PPO nodes and the optical layer act as a server for them.

The anticipated transmission rate upgrade taking place at the client nodes will require a parallel upgrade in the access network, i.e., a transition from today's Ethernet switches to the envisioned PPO nodes. As it is already done with current Ethernet switches, a large number of PPO nodes could be interconnected to create ad hoc optical topologies. Once connected, each PPO node would cooperate with the already existing nodes, and make efficient use of the available optical resources, such as fibers and wavelengths, to provide high speed optical circuits to the connected clients.



Fig. 1. PPO node and network concept.

An example of the envisioned ad hoc optical fiber network is shown in Fig. 1. In the figure, only a subset of PPO nodes are connected to electronic client nodes. An end-user may request the creation of a lightpath for transmitting data directly to another end-user (single-hop transmission). Alternatively, an end-user may request a lightpath to reach a preferred router. With the latter option, the router performs electronic forwarding of the received packets towards the intended final destinations (multi-hop transmission). Additionally, the router can statistically multiplex connections from multiple users on a single lightpath. Routers too can request lightpaths to be created to reach other routers or desired end-users. With all these lightpath creation alternatives, a technique similar to the one used by modem technology is envisioned, in which a lightpath (the equivalent of the phone circuit) is requested, and the transmission rate is selected based on the channel quality. Contrary to the modem technology — where the user determines the phone number to call — in the envisioned PPO scenario a distributed and automatic procedure determines: 1) which client must connect to which client and 2) which route, wavelength, and transmission rate ought to be used to achieve good performance, e.g., minimize network congestion and blocking of user connection requests. Due to the large number of optical circuit alternatives provided by the PPO nodes and the numerous phenomena affecting the quality of the optical circuits — chromatic dispersion and polarization mode dispersion (PMD) to mention a few — the resulting optimization problem is much more complex than the one already solved for the modem technology.

Upon request, PPO nodes must be able to provide clients with lightpaths that may span across multiple PPO nodes. Due to the network status and various transmission impairments it might not be always possible to connect two clients with a lightpath at the desired transmission rate. An exhaustive trial-and-error approach is not practical here as the number of possible lightpath alternatives grows exponentially with the number of PPO nodes, client nodes and wavelengths. For this reason, the PPO node must be equipped with the processing capability to solve accurate models of the fiber transmission impairment to predict *a-priori* the quality of any given lightpath [4], as well as the effects that multiple lightpaths, sharing the same fiber on spectrally adjacent wavelengths, may have on the quality of the optical signals. Predicted transmission rates can then be verified *a-posteriori* once the lightpath is created using the mini-lab available at the PPO nodes, thus providing feedback for model corrections and tune up. The result of this transmission analysis performed at the PPO node indicates which clients can be connected, and which transmission rates can be used without significant signal degradation of the lightpath under consideration and of the spectrally adjacent lightpaths.



Fig. 2. Optical transparency island.

Based on the transmission analysis results, each PPO node can identify its own *optical transparency island* defined as the subset of PPO nodes that can be reached all-optically from that PPO node. Notice that an optical transparency island is individually defined for each PPO node, and may partially overlap with other PPO nodes' islands. The island's size is strongly dependent on the transmission rate considered, as illustrated in Fig. 2. In addition, it might vary over time due to changes of physical parameters (e.g., temperature) and distribution of the existing lightpaths. The PPO node can detect and react to such changes by using and processing the measurements produced by the on-board mini-lab. Whenever two clients cannot be connected using a single lightpath due to transmission impairments, end-user connection requests may be fulfilled using a concatenation of routers and lightpaths, i.e., using multi-hop transmission.

Three key modules that required to carry out these distributed and automatic procedures are described next.

#### 3. Three Key Modules in the PPO Network

Three key modules of the PPO node enabled network are the PPO network (server layer) management and control module, the client network management and control



Fig. 3. PPO node and client modules.

module, and the on-board mini-lab module. The modules are connected as shown in Fig. 3. A description of their functionalities is provided next.

#### 3.A. PPO Network Management and Control Module

This module is responsible for:

- setting up, tearing down, and reconfiguring lightpaths to fulfill client requests;
- advertising and auto-discovering the available resources within the optical transparency island;
- predicting the effect of transmission impairments using real-time transmission models, e.g., determining if a lightpath is feasible, at what transmission rates, and the impact it would have on the already existing lightpaths;
- monitoring the status of the network, e.g., detecting optical signal degradation of existing lightpaths, possibly malfunctioning client interfaces, changes in the transmission parameter values.

Management and control signaling is carried over low-speed service channels on a dedicated control wavelength (e.g., at 1.3  $\mu$ m outside the amplifier window). Both PPO and router nodes exchange control information over the service channels, whose transmission rate maybe limited (compared to data channels) to reduce cost.

Due to the ad hoc nature of the PPO network, scalability of the control plane to handle hundreds of PPO nodes is of paramount importance. Scalability may be enabled by leveraging the optical transparency island concept. For example, link state advertisement messages generated by a PPO node (e.g., in the form of IP packets), may be naturally constrained within the PPO optical transparency island, by the management and control protocol. The level of flooding is thus reduced, without affecting the PPO node ability to compute the best path to reach any other PPO node within its own transparency island. Notice that although the PPO node optical transparency island is similar to the concept of autonomous system in IP networks, it has some significant differences, e.g., the transparency island may change over time, must be automatically computed by the PPO node, and each PPO node may have its own optical transparency island that partially overlaps with other PPO nodes'.

With the exchange of link state advertisement messages, each PPO node maintains two distinct local databases. The first database is used to keep track of available resources within its own optical transparency island and to self-discover newly added/removed resources, e.g., newly plugged PPO nodes. The second database keeps track of both the measurements made by the mini-lab — both locally and at remote PPO nodes — and the (a-priori) results acquired by running the real-time transmission models. In certain instances, it may be convenient to create temporary dummy lightpaths between PPO nodes to test transmission impairments, e.g., generate the (a-posteriori) dispersion map, and provide more accurate estimates of the optical signal quality. Both databases can be updated using extensions of the OSPF-TE protocol [5].

A client communicates with its adjacent PPO node(s) via a user to network interface (UNI) using the service channel. For example, it may requests the creation of a lightpath using the UNI. Upon receiving a request for a lightpath, the PPO node solves the routing and wavelength assignment (RWA) problem [6][7][8] by using both its local databases. In addition to solving the conventional RWA problem, the PPO node must determine a set of physical parameters that characterize the profile of the requested lightpath, e.g., range of acceptable transmitted power, transmission rate, maximum acceptable wavelength drift, etc. Transmission models are used by the PPO node to ensure that the profile of the newly created lightpath conforms to the client request, without negatively impacting the already created lightpaths in the network. Then, during the lifetime of the lightpath, the PPO node continuously monitors the signal received from the client to ensure that it meets the assigned profile. In the case of violation, the PPO node could, for example, send a disconnect message and release the network resources to be used for other lightpath requests.

#### 3.B. Client Network Management and Control Module

This module is responsible for handling end-to-end traffic flows at the client (electronic) layer. Routers handle client packets electronically, and can operate at a much finer multiplexing granularity than the wavelength granularity of a lightpath. For example, MPLS [9][10] can be used to provide traffic engineering at this layer.



Fig. 4. Logical links and potential logical links.

Between client nodes, control messages are exchanged using inband signaling, i.e., routers exchange control messages using the available logical links. Notice that, logical links at the electronic layer may correspond to lightpaths in the optical layer (Fig. 4). Being clients, routers communicate with the underlying PPO node through the dedicated UNI. Using both the UNI and inband signaling, each router maintains two routing tables. The first one (T1) keeps track of the reserved resources, using extensions of standard IGP protocols [11], such as OSPF-TE. The second routing table (T2) keeps track of the potential logical links (lightpaths not yet set up) that could be set up by the PPO nodes (Fig. 4) upon the router's request. T2 may be updated using two different techniques. The first technique makes use of the UNI toward the PPO node, which provides the router with the list of routers that can be reached within the optical transparency island. The information collected in this way is then advertised to other routers using inband signaling over already existing lightpaths. The second technique makes use of dummy lightpaths that are created periodically by the PPO nodes to measure the transmission parameters of the optical layer. While a dummy lightpath is set up, the routers at the end of the dummy lightpath may exchange control messages and update table T2. The two techniques may coexist.

With the envisioned PPO node network architecture, a client node, say router R1, has different options to choose from when it attempts to establish a data flow with another client. It may use the already existing lightpaths, i.e., using routing table T1 to reach the destination, or create new lightpath(s) using routing table T2. In the latter case, R1 must request the underlying PPO node to create a lightpath to reach the intended destination client. If the PPO node determines that the required connection is possible within its optical transparency island, the new lightpath is created. If the requested lightpath cannot be set up, or its allowed transmission rate is not sufficient, R1 must select an intermediate router, say R2, which is within reach of the PPO optical transparency island. R2 repeats the same procedure as R1. The multi-hopping procedure is repeated until the client destination node is reached.

With the above routing strategies, routers are provided with unconventional techniques to handle congestion, in addition to the conventional congestion avoidance procedure, e.g., Random Early Discard (RED) strategy. For example, the router can request the underlying PPO node to increase the bandwidth on the outgoing logical links (lightpaths). The PPO node may fulfill the router request by either increasing, if possible, the transmission rate of the already existing outgoing lightpaths or by creating additional lightpaths. An alternative solution available to a congested router is to reduce the traffic coming from the upstream neighboring routers by requesting them (via inband signaling) to create new lightpaths that bypass the congested router.

#### 3.C. PPO Node On-Board Mini-Lab Module

The on-board mini-lab is a key module of the PPO node. Groups of PPO nodes may jointly perform coordinated measurements by using their respective mini-labs in a distributed manner. By processing the measurements produced by the minilab module the PPO node may frequently update its local information about the optical physical layer. As already mentioned, this information is crucial for the correct operation of the PPO network management and control.

The mini-lab module assists the PPO node in accomplishing a number of tasks. For example, a newly plugged PPO node must gather information about the surrounding fiber parameter values. Already plugged PPO nodes continuously gather fiber transmission parameter values to detect critical changes, e.g., deterioration of the optical signal due to a fiber damage. Another task is to monitor the optical signal quality when newly requested lightpaths are set up and the network is in operation. All these measurements are then used by the PPO network management and control module to re-optimize existing lightpaths and optimally set up additional ones.

The mini-lab module requires simplicity of configuration and easy integration of its components. On the other hand, to self-determine the network connectivity and transmission characteristics, this module needs to provide sufficient functionalities at a limited cost. In the next section, the tradeoff between the mini-lab module complexity and the offered functionalities is discussed considering three possible architectures.

#### 4. Three Possible Solutions for the Mini-Lab Module

This section discusses three alternative hardware configurations for the mini-lab module and their built-in techniques to characterize linear and non-linear properties of the PPO node input/output optical fibers. The principle is to measure and collect various fiber parameter values that are then used to perform on-board fast analytical evaluation of the optical signal quality.

#### 4.A. Basic Configuration for Low-Speed Networks



Fig. 5. Mini-lab hardware configuration using LED's.

The basic hardware configuration for the mini-lab module is shown in Fig. 5. Each outgoing wavelength channel is paired with a low-cost LED. The optical power of each LED is regulated and each output wavelength channel is modulated using a low-speed (kb/s) data stream. The modulated data stream carries the output power level information, and both the PPO node and the channel identification information.

Each incoming wavelength channel is connected to a photodiode. The photodiode converts the incoming optical signal into the electrical domain. The converted signal is then processed to retrieve the channel identification information, the identification of the connected PPO node and the optical power level of the transmitter. The optical loss (or gain) of the fiber can then be estimated by comparing the power level of the emitter with the average optical power received. Optionally, a PPO node may be equipped with a global positioning system (GPS) used to specify the geographical location of the PPO node. This information may be useful, for example, to estimate the signal propagation delay. This basic configuration represents a low cost mini-lab solution, which enables PPO nodes to discover adjacencies and estimate the optical signal loss of each optical fiber link. This configuration is ideal for networks operating at low data rates. High-speed networks may require additional information on the optical fiber links — e.g., OSNR, chromatic dispersion and PMD — which cannot be estimated by this basic configuration.

## 4.B. Configuration for Linear Impairment Measurements

To measure fiber chromatic dispersion, wavelength-dependent propagation delay must be evaluated by the PPO node. This can be achieved using a wideband super luminescent LED (SLED) as light source and a tunable optical filter at the receiver.



Fig. 6. Mini-lab hardware configuration using SLED's.

Commercially available SLED's are able to guarantee 50 nm (or more) of spectral width at 10 dBm (or more) of optical power. Depending on both the number of transmitters required at the PPO node and the cost of a SLED, possible PPO hardware configurations may include: (i) one SLED at each transmitter, or (ii) one SLED at each PPO node. In the latter solution, the SLED is combined with an optical switch to deliver power to different output fibers, in a round robin fashion.

Fig. 6 shoes an example of the hardware configuration of a PPO node with one SLED and an optical switch. Using this architecture, a PPO node is able to accomplish a number of fiber characterization functionalities.

To transmit the PPO node and channel identification, node location, and output power level, the SLED signal is modulated by an electrical signal and sent to the output fiber, as already described for the basic configuration in Section 4.A. In the receiver part of the PPO node, a low cost and low-speed receiver at the input fiber interface suffices to detect and decode the incoming optical signal and to measure its average optical power level. These are the same functionalities provided by the basic PPO node configuration. In addition, the combination of the SLED and a tunable optical filter enables an additional set of functionalities, i.e., the measurement of the fiber chromatic dispersion and the evaluation of the OSNR when optical amplifiers are in place.

To characterize the fiber chromatic dispersion, the signal from the SLED is modulated by a sinusoidal wave. The chromatic dispersion of the fiber creates a differential group delay, causing the different wavelength components of the SLED spectrum to propagate at different speeds. At the receiver, the relative phase delay of the modulating sinusoidal signal can be detected by varying the wavelength selected by the tunable optical filter. Chromatic dispersion of the optical fiber can then be evaluated using conventional techniques. For example, the accumulated dispersion of the fiber link can be evaluated as:

$$D(\lambda)L = \frac{d(\Delta\tau_g(\lambda))}{d\lambda} = \frac{1}{360^{\circ}f_m} \frac{d\phi(\lambda)}{d\lambda}$$
(1)

where  $\Delta \tau_g(\lambda)$  is the differential time delay and  $\phi(\lambda)$  is the phase delay as the function of the wavelength and  $f_m$  is the modulation frequency. Because of the periodic nature of this differential phase delay method, high modulation frequency will result in a small measurable chromatic dispersion while low modulation frequency will reduce the measurement accuracy. Here two different modulation frequencies may be required to work over a large range of frequencies, while maintaining good accuracy. When the lightpaths are set up and carry data traffic, the tunable optical filter may also be used as an optical spectrum analyzer, thus allowing the real-time monitoring of the channel OSNR.

With this configuration, the PPO node is not able to measure non-linear impairments and PMD of the optical fiber.

#### 4.C. Configuration for Linear and Non-Linear Impairment Measurements

To estimate the non-linearity and PMD of the optical fibers, an advanced hardware configuration of the mini-lab module is required. The configuration is shown in Fig. 7 and makes use of a tunable laser diode shared by the transmitter and the receiver, by means of a 1 by 2 switch. Coherent detection is used to estimate chromatic dispersion, non-linearity, and PMD of the fiber pair between two PPO nodes. Depending on the state of the optical switch, the tunable laser can be used as either a transmitter or a local oscillator to achieve coherent detection at the receiver.

The PPO node identification and power level information are modulated into the optical signal and sent to the receiving part of the PPO node at the other end of the fiber link. To measure the fiber parameter values, a linearly swept RF source is used to drive the modulator. The frequency response of the system represented by a fiber, or fiber system, can then be measured by the coherent detection optical receiver. Synchronization is not required for the measurement as the modulation frequency can be found at the receiver by evaluating the modulation sidebands with the local oscillator performing coherent spectrum analysis.

The normalized frequency response of a fiber system can be expressed as [12]:

$$H(f) \propto \cos\left(\frac{\pi\lambda_0^2 D f^2 L}{c} - \tan^{-1}(b)\right).$$
<sup>(2)</sup>

In (2) D represents the chromatic dispersion, L the fiber length, c the speed of light,  $\lambda_0$  the wavelength, and  $b = b_L + b_N$  is defined as an equivalent chirp



Fig. 7. Mini-lab hardware configuration using a tunable laser.

parameter. Parameter  $b_L$  represents a linear chirp, which can be created by the optical modulator, while  $b_N = \pm 2\gamma P/\alpha$  is a non-linear chirp, which is caused by the fiber non-linear coefficient  $\gamma$ , the attenuation coefficient  $\alpha$ , and the signal optical power P. The  $\pm$  option in  $b_N$  denotes the sign of fiber chromatic dispersion.

Fig. 8 shows an example of measured frequency response in a dispersion compensating fiber (DCF). Note that the response notches move to lower frequencies when the input optical power is increased. The measurement of the dependency of the fiber system frequency response on the optical signal power can then be used to evaluate the non-linearity of the fiber system as follows.

When the optical signal power is small enough, the frequency response of the fiber system is a function of the accumulated chromatic dispersion. Parameters  $b_L$  and D can then be expressed as

$$b_L = \tan\left(\frac{\pi\nu_{0,l}^2}{\nu_{1,l}^2 - \nu_{0,l}^2} \mp \frac{\pi}{2}\right)$$
(3)

and

$$D = \frac{c}{L\lambda^2(\nu_{1,l}^2 - \nu_{0,l}^2)} \tag{4}$$

respectively, where  $\nu_{0,l}$  and  $\nu_{1,l}$  are the 0th and the 1st order of the notch frequencies in the linear system. Therefore, the dispersion of the fiber system can be precisely evaluated. By increasing the signal optical power, the frequency response of the fiber system is modified compared to the linear condition, according to the fiber non-linear parameter value. The 1st notch moves to  $\nu_{0,f}$ . Once the frequency shift



Fig. 8. Example of transfer function in a system with a dispersion compensating module (DCM-80).

is measured, the non-linear chirp parameter can be found as:

$$b_N = \frac{(1+b_L^2)\tan(u)}{1-b_L\tan(u)}$$
(5)

where

$$u = \pi \left( \frac{\nu_{0,f}^2 - \nu_{0,l}^2}{\nu_{1,l}^2 - \nu_{0,l}^2} \right).$$
(6)

Fig. 9 shows the measured value of  $b_N$  using both a standard SMF (squares) and a DCF (circles) as a function of the signal power. The solid curves represent the calculated values when using the following parameter values: L = 75.74 km,  $\alpha =$ 0.21 dB/km, D = 15.614 ps/nm/km, and  $\gamma = 1.2974$  W<sup>-1</sup>km<sup>-1</sup> for the SMF, and L = 14.19 km,  $\alpha = 0.47$  dB/km, D = -92.636 ps/nm/km, and  $\gamma = 3.534$  W<sup>-1</sup>km<sup>-1</sup> for the DCF. The values of dispersion, length and attenuation are independently verified via an optical time domain reflectometer (OTDR). In a system with N optical fiber spans the non-linear chirp effect has to be weighted by the dispersion of each span. The effective non-linear chirp parameter can then be expressed as [13]:

$$b_N \approx \frac{2}{\sum_{i=1}^N D_i L_i} \sum_{i=1}^N \frac{\gamma_i P_i}{\alpha_i} \left( \sum_{k=i}^N D_k L_k \right).$$
(7)

Using the measurements on both linear and non-linear parameter values, realtime modeling of the fiber link can be used to estimate the link performance at various data rates. This information is essential for identifying the lightpaths that can be successfully set up.

In addition, when lightpaths are set up and data traffic is being carried, the same coherent detection monitoring configuration may be used to perform in-situ monitoring of the fiber link or lightpath performance, e.g., the variation of OSNR



Fig. 9. Non-linear chirp parameter  $b_N$  versus signal optical power for DCM-80 and 75 km SMF.

and PMD. In particular, to evaluate PMD, the coherent detection receiver in the mini-lab module down-converts the optical spectrum of the data channel to the RF domain. Two different frequency components are then selected by bandpass RF filters and their relative polarization walk-off can be analyzed, which predicts the differential group delay (DGD) of the fiber system [14].

# 5. Conclusion

The paper presented the concept of self-configuring plug-and-play optical (PPO) nodes and discussed the potential impact that they may have on future network functionalities. Three possible solutions were proposed to realize the on-board minilab module, which enables the PPO node to self-characterize the optical properties of the neighboring fiber links.

If proven feasible, the PPO node enabled network will represent a self-configuring solution that continuously determines an efficient way to employ (optical) network resources throughout the lifetime of the network, i.e., 1) it determines the most cost effective solution by using all-optical transmission and/or O/E/O (through routers) at selected nodes on a per flow basis, 2) it provides dynamic bandwidth provisioning that adjusts to traffic changes, i.e., as traffic patterns change, the network can set up new lightpaths, and tear down old ones, thus avoiding the problem of burning wavelengths (fixed reservation of wavelengths), 3) as new PPO nodes and fibers are added to the network, it discovers them automatically, without requiring the manual redesign of the network — similarly to today's "plug-and-play" network nodes in LAN technology, 4) it provides timely monitoring of the signal quality on the optical circuit to inform the network management and control module, 5) it provides built-in optical signal processing methods to predict and monitor the performance of the optical circuits in the network.

The self-configuration capability of the PPO node enabled network makes it possible to reduce the network design, installation, and maintenance costs, because nohuman intervention is required to perform complex tasks. Applications that will benefit from the availability of PPO nodes include rapidly deployable wide-bandwidth sensor networks, in which each sensor is expected to generate multi-gigabit data streams [15][16].

The success of the PPO node deployment highly depends on the possibility to build high performance, compact, and easy-to-install PPO nodes. Several are the open challenges when pursuing the PPO node concept. For example, existing solutions that are well established in conventional data networks (SONET, ATM, Ethernet) are not suitable due to the PPO node provided optical transparency. Selfhandling of optical transmission impairment requires innovative approaches and protocols that cannot be found in today's commercial solutions [5]. Another challenge is represented by the fact that all-optical networks have been studied for more than a decade, and yet commercially viable solutions have not been found. Although optical/RF signal processing methods to predict and monitoring fiber link performance have been proposed and demonstrated, opto-electronic integration will be necessary in the future to realize the on-board low-cost and miniaturized laboratory module.

Finally, it is interesting to notice that some similarities exist between the proposed ad hoc optical fiber networks and the dynamic nature of ad hoc wireless networks. Numerous PPO nodes can be deployed by the user as needed, and connected to existing fiber cables. A user interface may migrate physically from one PPO node to another. A user interface may migrate logically from one router to another, thus creating a new adjacency in the routing tables, by simply requesting a new lightpath. All these unknowns, combined with the complexity of handling optical signals quality at high transmission rates, constitute a set of challenging open problems that must be tackled.

#### Acknowledgments

The authors would like to thank Prof. Stefano Gregori and Prof. Franco Maloberti for their valuable technical conversations and input. This research was supported in part by NSF Grants No. CNS-0435393, No. CNS-0435381 and the Italian Ministry of University (MIUR) (contract n. RBNE01KNFP).

#### **References and Links**

- [1] N. M. Froberg, S. R. Henion, H. G. Rao, B. K. Hazzard, S. Parikh, B. R. Romkey, and M. Kuznetsov, "The NGI ONRAMP Test Bed: Reconfigurable WDM Technology for Next Generation Regional Access Networks," *IEEE/OSA Journal of Lightwave Technology*, vol. 18, no. 12, December 2000.
- [2] C. A. Brackett, "Dense Wavelength Division Multiplexing Networks: Principles and Applications," *IEEE Journal on Selected Areas in Communications*, vol. 8, August 1990.
- [3] I. Chlamtac, A. Ganz, and G. Karmi, "Lightpath Communications: a Novel Approach to High Bandwidth Optical WAN-s," *IEEE Transactions on Communication*, vol. 40, no. 7, July 1992.
- [4] J. Strand and A. Chiu, "RFC 4504 Impairments and Other Constraints on Optical Layer Routing," May 2000.
- [5] S. Das, R. R. Tabrizi, P. Monti, M. Tacca, and A. Fumagalli, "A Link State Advertisement (LSA) Protocol for Optical Transparency Islands," The Univer-

sity of Texas at Dallas, Tech. Rep. UTD/EE-02/2006, April, revised in August 2006.

- [6] P. E. Green, Fiber Optic Networks. Prentice-Hall, 1993.
- [7] B. Mukherjee, Optical Communications Networks, S. M. Elliot, Ed. McGraw Hill, 1987.
- [8] R. Ramaswami and K. N. Sivarajan, Optical Networks: a Practical Perspective (Second Edition), R. Adams, Ed. Morgan Kaufmann Publishers, Inc., 2002.
- [9] A. Banerjee, L. Drake, Lang, B. Turner, D. Awduche, L. Berger, K. Kompella, and Y. Rekhter, "Generalized Multiprotocol Label Switching: an Overview of Signaling Enhancements and Recovery Techniques," *IEEE Communications Magazine*, vol. 7, no. 39, pp. 144–150, July 2001.
- [10] D. Awduche and Y. Rekhter, "Multiprotocol Lambda Switching: Combining MPLS Traffic Engineering Control with Optical Crossconnects," *IEEE Communications Magazine*, vol. 3, no. 39, pp. 111–116, March 2001.
- [11] R. W. Stevens, TCP/IP Illustrated, Volume 1. Addison-Wesley Professional Computing Series, 1994.
- [12] F. Devaux, Y. Sorel, and J. Kerdiles, "Simple Measurement of Fiber Dispersion and of Chirp Parameter of Intensity Modulated Light Emitter," *IEEE/OSA Journal of Lightwave Technology*, vol. 11, no. 12, pp. 1937–1940, December 1993.
- [13] N. Kikuchi and S. Sasaki, "Analytical Evaluation Technique of Self-Phase-Modulation Effect on the Performance of Cascaded Optical Amplifier Systems," *IEEE/OSA Journal of Lightwave Technology*, vol. 13, no. 5, pp. 868–878, May 1995.
- [14] B. Fu and R. Hui, "Fiber Chromatic Dispersion and Polarization-Mode Dispersion Monitoring Using Coherent Detection," *IEEE Photonics Technology Letters*, vol. 17, no. 7, pp. 1561–1563, July 2005.
- [15] S. L. Bernstein, J. O. Calvin, K. M. Cuomo, H. M. Heggestad, I. Kupiec, D. R. Martinez, J. M. Mayhan, F. C. Robey, and J. M. Usoff, "Wideband Networked Sensors," in Next Generation Internet Principal Investigator Meeting, October 1992, www.fas.org/spp/military/program/track/martinez.pdf.
- [16] R. Fontana, "Current Trends in UWB Systems in the USA Implementation, Applications and Regulatory Issues," in Advanced Radio Technology Symposium 2002, 2002, www.multispectral.com/pdf/MSSI\_ARTS.pdf.